

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
12 December 2002 (12.12.2002)

PCT

(10) International Publication Number  
WO 02/099527 A1

(51) International Patent Classification<sup>7</sup>: G02F 1/19, G02B 26/02, G09F 9/37

(21) International Application Number: PCT/IB02/02041

(22) International Filing Date: 4 June 2002 (04.06.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 01202127.5 5 June 2001 (05.06.2001) EP

(71) Applicant (for all designated States except US): KONINKLIJKE PHILIPS ELECTRONICS N.V. [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).

(72) Inventors; and

(75) Inventors/Applicants (for US only): PRINS, Menno, W.

J. [NL/NL]; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). CORNELISSEN, Hugo, J. [NL/NL]; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).

(74) Agent: RAAP, Adriaan, V.; Internationaal Octrooibureau B.V., Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).

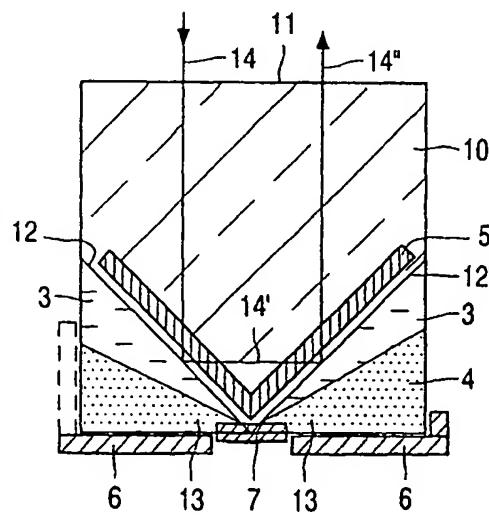
(81) Designated States (national): CN, JP, KR, US.

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:  
— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: DISPLAY DEVICE BASED ON FRUSTRATED TOTAL INTERNAL REFLECTION



(57) Abstract: Display cells (10) contain two immiscible fluids (3, 4) having different refractive index or absorption coefficient, the cells being placed below a transparent substrate (10) having a prismatic structure enabling total internal reflection (TIR). Switching is based on frustrated TIR by (re)distribution of the fluids within the cell due to electrostatic forces or (external) electrodes (5; 6) and a potential applied to one of the fluids (4) which is electrically conducting.

WO 02/099527 A1

## DISPLAY DEVICE BASED ON FRUSTRATED TOTAL INTERNAL REFLECTION

The invention relates to a display device comprising a substantially transparent substrate having a substantially flat surface and a substantially prismatic surface facing said flat surface.

A display device of this type is described in, for example, the article in "New 5 Reflective Display Based on Total Internal Reflection in Prismatic Microstructures" Proc. 20th IDRC conference, pp. 311- 314 (2000). This article describes the principle of total internal reflection based on controlled frustration. The total internal reflection is made impossible in one state by moving light-absorbing particles towards the prismatic surface by means of electrophoresis.

10 Electrophoretic display devices are based on the movement of charged, usually colored particles under the influence of an electric field between two extreme states having a different transmissivity or reflectivity. With these devices, dark (colored) characters can be imaged on a light (colored) background, and vice versa.

15 Electrophoretic display devices are therefore notably used in display devices taking over the function of paper, referred to as "white paper" applications (electronic newspapers, electronic diaries).

The present invention is based on a completely different mechanism for realizing the differences in reflected light in the two (dark and light) optical states.

20 To this end, a display device according to the invention comprises a reservoir at the location of a pixel, which reservoir contains at least two substantially immiscible fluids having a different refractive index or a different absorption coefficient, and a difference of electric conductivity, said display device also comprising drive means for displacing the fluids with respect to each other.

25 As will be explained hereinafter, the light is completely reflected in one of the two states, (by suitable choice of one of the fluids and hence the refractive index), while the light is absorbed by the fluid in the other state (or either or not partly transmitted and absorbed elsewhere).

The substrate surface facing the viewer on the viewing side may be flat, with at least a part of the prismatic surface of the substrate forming part of a wall of the reservoir.

Alternatively, it may be prismatic, with at least a part of the flat surface of the substrate forming part of a wall of the reservoir.

In order to displace the fluids with respect to each other, use is made of the difference of electric conductance and, at the area of the wall of the reservoir of a pixel, the 5 drive means comprise at least two electrodes which can be electrically coupled to the fluids.

At the area of the wall of the reservoir of a pixel, the drive means preferably comprise two electrodes which are isolated from the fluids, and a third electrode which is in electric contact with the electrically conducting fluid.

To this end, a matrix device comprises a plurality of pixels at the area of 10 crossings of row or selection electrodes and column or data electrodes, with at least a switching element between an electrode of the pixel and a row or column electrode.

These and other aspects of the invention are apparent from and will be elucidated with reference to the embodiments described hereinafter.

15

In the drawings:

Fig. 1 shows diagrammatically the principle on which a display device according to the invention is based,

20 Fig. 2 shows a variant of Fig. 1,

Fig. 3 shows a possible form of a display cell according to the invention, while

Fig. 4 shows another possible form of a display cell according to the invention, and

Fig. 5 is an electric equivalent diagram of a display device according to the invention.

25 The Figures are diagrammatic and not drawn to scale; corresponding components are generally denoted by the same reference numerals.

30 Fig. 1 shows diagrammatically a display cell 1 with two liquids, or fluids, 3, 4 in a transparent, for example, glass or synthetic material envelope 2, which fluids do not mix with each other and one of which is electrically conducting and the other is insulating. In this example, the display cell 1 comprises, for example an aqueous (color) solution 4 (electrically conducting), for example, a solution in water of methylene blue or Prussian blue ( $C_6Fe_2Kn_6$ ), while a gas such as nitrogen or a non-polar oil such as an alkane (for example, dodecane or

hexadecane), a silicon oil, chloronaphthalene, bromonaphthalene, or 1-bromododecane is chosen as an insulating fluid.

On two sides of the fluids, the display cell 1 comprises insulated electrodes 5, 6 which are usually fed with voltages  $V_{com1}$ ,  $V_{com2}$  which are common for a plurality of 5 pixels, in the relevant example with voltages of 0 and V. The display cell 1 also comprises an electrode 7 which makes electrically conducting contact through the envelope 2 with the electrically conducting fluid 4. The electrode 7 is fed with a voltage  $V_{pixel}$  by means of which the optical state of the display cell is adjusted. Although, for the sake of understanding the invention, the electrodes 5, 6 are shown outside the envelope, they are provided within the 10 envelope 2 in practice and insulated from the fluids by means of an insulating coating having a low wetting hysteresis such as a fluoropolymer.

The electrically conducting fluid 4 is attracted by electrostatic forces in the direction of the electrodes 5, 6, namely towards the electrode 5 with a force which is proportional to  $(V_{pixel}-V_{com1})^2$  and towards the electrode 6 with a force which is proportional 15 to  $(V_{pixel}-V_{com2})^2$  due to electrowetting or electrocapillarity. The difference between the respective electrostatic forces defines the position of the fluid 4 in a display cell. In Fig. 1,  $V_{com1} = 0$  and  $V_{com2} = V$ . When  $V_{pixel} = 0$ , the electrically conducting fluid 4 is at the location of electrode 6 (Fig. 1a), while the electrically conducting fluid 4 is at the location of electrode 5 (Fig. 1b) when  $V_{pixel} = V$ . By changing the pixel potential  $V_{pixel}$ , the location of the 20 electrically conducting fluid 4 can thus be influenced. Although the electrode 7 is shown as a conducting electrode in this example, and, moreover, projects into the fluid, this is not necessary. The potential may also be provided on the conducting fluid via capacitive coupling. In that case, the electrode 7 is arranged, for example, outside the reservoir 2 or provided with an insulating protective coating.

25 Fig. 2 shows a variant of Fig. 1, in which the electrode 7, which is again fed with a voltage  $V_{pixel}$  is subdivided into two sub-electrodes 7, 7'. The interface between the fluids is now substantially parallel to the upper and lower wall of the envelope 2, with a small deviation in the plane of the drawing, due to the effect used (in Fig. 2, this is shown diagrammatically by means of the slanting line 8).

30 Fig. 3 shows how the principle described above is used in a display device based on total internal reflection. A display cell 1 comprises a transparent substrate 10 of glass or a synthetic material, having a substantially flat surface 11 and a substantially prismatic surface 12, 12' opposite said flat surface. The display cell comprises a reservoir 13 which contains at least two immiscible fluids 3, 4 having a different refractive index, which

fluids do not mix with each other and one of which is an electrically conducting fluid and the other is an insulating fluid. The display cell 1 also comprises an electrode 7 which is fed with a voltage  $V_{pixel}$  and makes electrically conducting contact through the envelope (not shown) with the electrically conducting fluid 4. Although this is not shown in Fig. 3, it is favorable if

5 the display cells have a common overflow reservoir for the two fluids (either or not for a plurality of display cells) in connection with expansion upon temperature changes etc. The display cell comprises insulated electrodes 5, 6 on two sides of the fluids, which electrodes are fed with common voltages  $V_{com1}$ ,  $V_{com2}$ , in the relevant example with voltages 0 and  $V$ .

When  $V_{pixel} = 0$ , the electrically conducting fluid 4 is at the location of

10 electrode 6 (Fig. 3a), while the electrically conducting fluid 4 is at the location of electrode 5 (Fig. 3b, in which the electrodes are not shown) when  $V_{pixel} = V$ . An incident light ray 14 is transmitted in the first mentioned case (Fig. 3a) by the transparent (ITO) electrode 5 and undergoes total reflection on the surface 12 by suitably choice of the refractive index of the insulating fluid. The reflected light ray 14' once more undergoes total reflection on the

15 surface 12' and the twice reflected light ray 14'' leaves the display cell 1 substantially without any loss of light. In the second case (Fig. 3b), the incident light ray 14 is also transmitted by the transparent (ITO) electrode 5, but is subsequently absorbed entirely or partly on the surface 12 by the aqueous solution 4. A possibly reflected part 14' of the light ray 14 is absorbed on the surface 12'.

20 In this example, the electrode 6 is only shown on the lower side of the pixel because this is favorable when combining several pixels on one substrate, for example, in a matrix display device. In other cases, it is advantageous to provide the electrode 6 also along the wall of the reservoir 13. This is shown diagrammatically by means of broken lines in Fig. 3a.

25 Fig. 4 shows diagrammatically a combination of two similar pixels with reservoirs as described with reference to Fig. 2, but now with a substantially flat surface 11 on the side of the pixel and a substantially prismatic surface 12, 12' on the viewing side. Otherwise, the reference numerals have the same significance as in the previous Figures.

Fig. 5 shows an electrical equivalent of a part of a color display device 1 to

30 which the invention is applicable. It comprises a matrix of pixels 20 at the area of crossings of row or selection electrodes 27 and column or data electrodes 26. The row electrodes 1 to  $m$  are consecutively selected by means of a row driver 24, while the column electrodes 1 to  $n$  are provided with data via a data register 25. The pixels in columns 1, 4, 7, ...,  $n-2$  constitute red pixels in this example, the pixels in columns 2, 5, 8, ...,  $n-1$  constitute blue pixels in this

example, and the pixels in columns 3, 6, 9, ..., n constitute green pixels. To this end, incoming data 22 are first processed, if necessary, in a processor 23. Mutual synchronization between the row driver 24 and the data register 25 takes place via drive lines 28.

Drive signals from the row driver 24 and the data register 25 select a pixel 20 via thin-film transistors (TFTs) 29 whose gate electrodes are electrically connected to the row electrodes 27 and whose source electrodes are electrically connected to the column electrodes 26 (referred to as active drive). The signal at the column electrode 26 is transferred via the TFT to an electrode 7 of a pixel 20 coupled to the drain electrode. The electrode 7 has the same function as described above with reference to Figs. 1 to 4. The other electrodes 5, 6 of the pixel 20 are connected, for example, to one (or more) common electrode or electrodes with  $V_{com1}$  (in this example, ground) and  $V_{com2}$  (in this example, V). In the example of Fig. 5, such a TFT 29 is shown diagrammatically for only one pixel 20.

Several variations are of course possible within the scope of the invention. For example, use may be made of two immiscible fluids having a different refractive index. In certain electrode configurations, it is possible that the incident beam reaches the lower side of the pixel. If desired, the pixel may be provided with a light-absorbing layer on the lower side.

Instead of the thin-film transistors (TFTs) 29, other switching elements may be chosen, for example, a diode circuit.

The third electrode 5 may also be omitted, provided that the fluids 3, 4 used have such a difference of hydrophobic properties that the described displacement occurs (the walls have a difference of wetting behavior). One of the two electrodes then functions as a drive electrode.

As stated, use may also be made of capacitive coupling; this also applies to driving of the electrodes by means of the TFTs or other switching elements.

The protective scope of the invention is not limited to the embodiments described.

The invention resides in each and every novel characteristic feature and each and every combination of characteristic features. Reference numerals in the claims do not limit their protective scope. Use of the verb "comprise" and its conjugations does not exclude the presence of elements other than those stated in the claims. Use of the article "a" or "an" preceding an element does not exclude the presence of a plurality of such elements.

## CLAIMS:

1. A display device comprising a substantially transparent substrate having a substantially flat surface and a substantially prismatic surface facing said flat surface, said display device comprising a reservoir at the location of a pixel, which reservoir contains at least two substantially immiscible fluids having a different refractive index or a different absorption coefficient, and a difference of electric conductivity, said display device also comprising drive means for displacing the fluids with respect to each other.
2. A display device as claimed in claim 1, comprising two immiscible fluids having a different refractive index, one of which is electrically conducting and one is substantially insulating.
3. A display device as claimed in claim 1, with the flat surface of the substrate on the viewing side, wherein at least a part of the prismatic surface of the substrate forms part of a wall of the reservoir.
4. A display device as claimed in claim 1, with the prismatic surface of the substrate on the viewing side, wherein at least a part of the flat surface of the substrate forms part of a wall of the reservoir.
5. A display device as claimed in claim 1, wherein the drive means at the area of the wall of the reservoir of a pixel comprise at least two electrodes which can be electrically coupled to the fluids.
6. A display device as claimed in claim 5, wherein the drive means at the area of the wall of the reservoir of a pixel comprise two electrodes which are isolated from the fluids, and a third electrode which is in electric contact with the electrically conducting fluid.

7. A display device as claimed in claim 1, comprising a plurality of pixels at the area of crossings of row or selection electrodes and column or data electrodes, with at least a switching element between an electrode of the pixel and a row or column electrode.

5 8. A display device as claimed in claim 7, with a TFT transistor per pixel between the electrode and a column electrode, wherein the gate of the TFT transistor is connected to a row electrode.

1/3

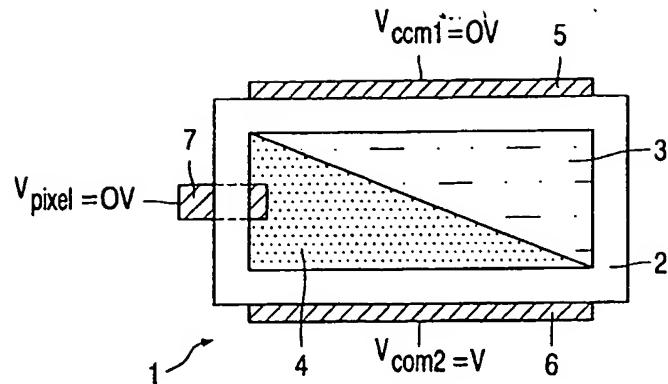


FIG. 1a

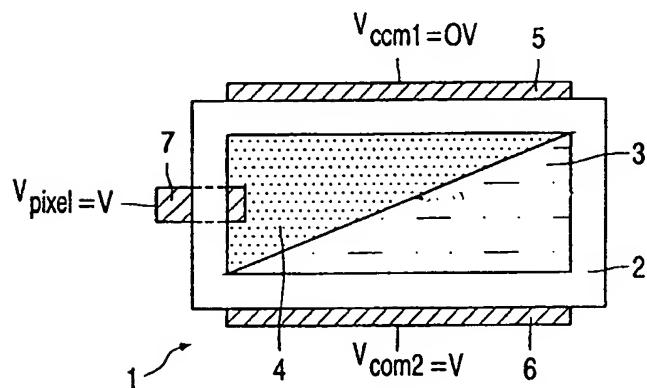


FIG. 1b

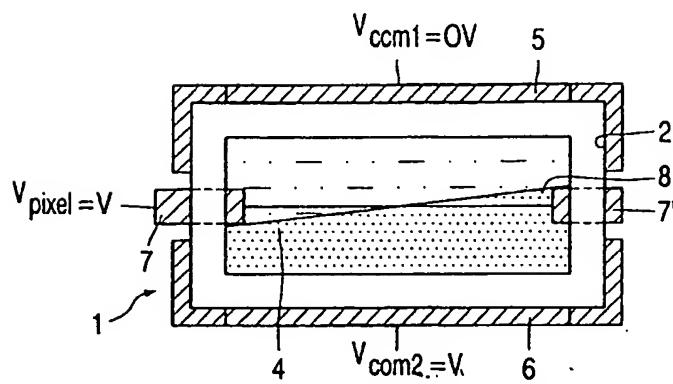


FIG. 2

2/3

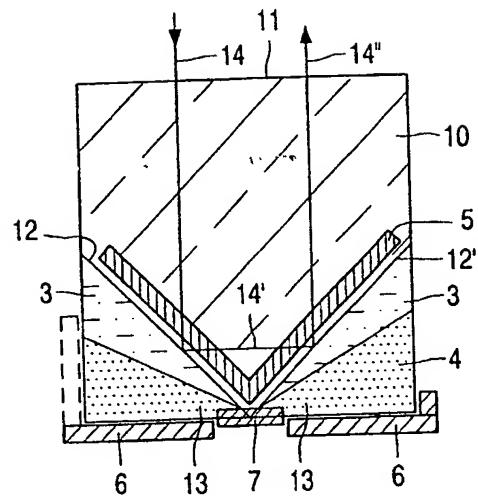


FIG. 3a

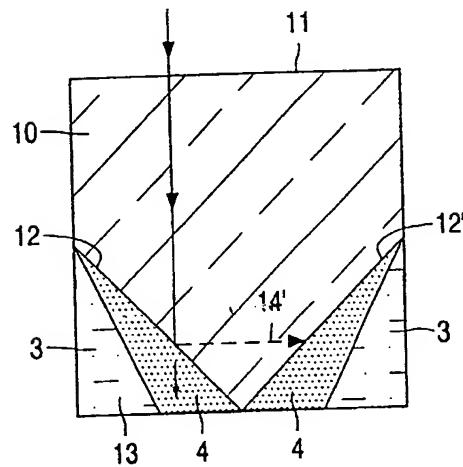


FIG. 3b

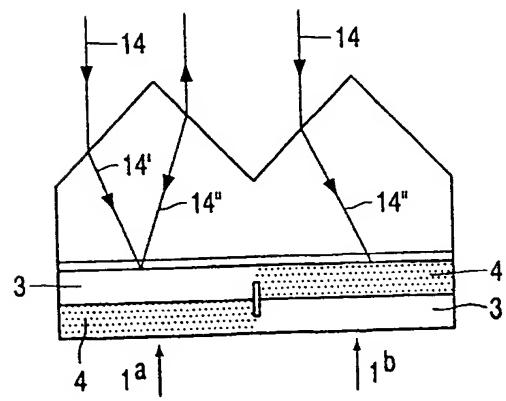


FIG. 4

3/3

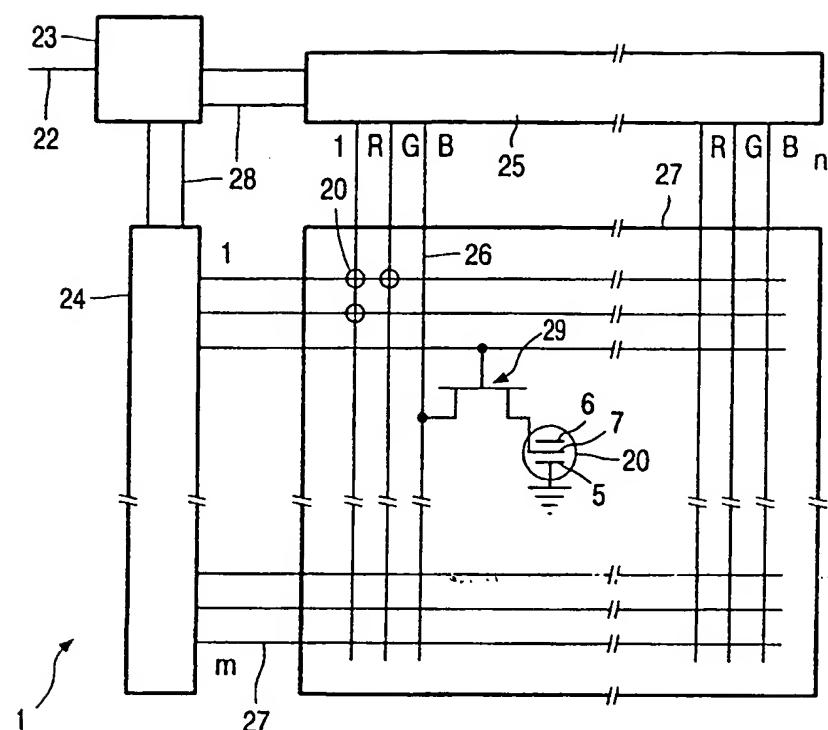


FIG. 5

## INTERNATIONAL SEARCH REPORT

|                 |                |
|-----------------|----------------|
| Inte            | Application No |
| PCT/IB 02/02041 |                |

|                                     |          |                    |
|-------------------------------------|----------|--------------------|
| A. CLASSIFICATION OF SUBJECT MATTER |          |                    |
| IPC 7                               | G02F1/19 | G02B26/02 G09F9/37 |

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

|       |      |      |      |
|-------|------|------|------|
| IPC 7 | G02F | G02B | G09F |
|-------|------|------|------|

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

|              |
|--------------|
| EPO-Internal |
|--------------|

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|----------|--|-----------------------|
| X        | EP 1 069 450 A (CANON KK)<br>17 January 2001 (2001-01-17)<br>paragraph '0201! - paragraph '0215!;<br>figure 19<br>paragraph '0230! - paragraph '0241!;<br>figure 21<br>--- | 1-5                   |
| Y        | US 4 079 368 A (DISTEFANO THOMAS HERMAN)<br>14 March 1978 (1978-03-14)<br>column 3, line 66 -column 6, line 16;<br>figures 1A-1C<br>---                                    | 7,8                   |
| Y        | WO 00 10048 A (UNIV BRITISH COLUMBIA)<br>24 February 2000 (2000-02-24)<br>page 11, line 3 -page 14, line 18; figures<br>4,5<br>---   | 7,8                   |
| A        | WO 00 10048 A (UNIV BRITISH COLUMBIA)<br>24 February 2000 (2000-02-24)<br>page 11, line 3 -page 14, line 18; figures<br>4,5<br>---   | 1,4,7,8               |
|          |  | -/-                   |

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority, claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*&\* document member of the same patent family

|   |  |
|---|--|
| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|--|

|                |            |
|----------------|------------|
| 22 August 2002 | 02/09/2002 |
|----------------|------------|

Name and mailing address of the ISA

|   |                    |
|---|--------------------|
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tel: (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016 | Authorized officer |
|---|--------------------|

|            |
|------------|
| Noirard, P |
|------------|

## INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/IB 02/02041

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|------------|--|-----------------------|
| A          | MOSSMAN M A ET AL: "NEW REFLECTIVE DISPLAY BASED ON TOTAL INTERNAL REFLECTION IN PRISMATIC MICROSTRUCTURES"<br>CONFERENCE RECORD OF THE 20TH INTERNATIONAL DISPLAY RESEARCH CONFERENCE.<br>PALM BEACH, FL, SEPT. 25 - 28, 2000,<br>INTERNATIONAL DISPLAY RESEARCH CONFERENCE (IDRC), SAN JOSE, CA: SID, US,<br>vol. CONF. 20, 2000, pages 311-314,<br>XP001032176<br>cited in the application<br>the whole document<br>----- | 1, 3                  |

## INTERNATIONAL SEARCH REPORT

## Information on patent family members

International Application No

PCT/IB 02/02041

| Patent document cited in search report |   | Publication date |    | Patent family member(s) |  | Publication date |
|--|---|------------------|----|-------------------------|--|------------------|
| EP 1069450                             | A | 17-01-2001       | JP | 2000356751 A            |  | 26-12-2000       |
|  |   |                  | JP | 2000356792 A            |  | 26-12-2000       |
|  |   |                  | EP | 1069450 A2              |  | 17-01-2001       |
| US 4079368                             | A | 14-03-1978       | DE | 2719505 A1              |  | 08-12-1977       |
|  |   |                  | FR | 2352364 A1              |  | 16-12-1977       |
|  |   |                  | GB | 1573165 A               |  | 13-08-1980       |
|  |   |                  | JP | 52140233 A              |  | 22-11-1977       |
| WO 0010048                             | A | 24-02-2000       | US | 6064784 A               |  | 16-05-2000       |
|  |   |                  | AU | 5272699 A               |  | 06-03-2000       |
|  |   |                  | WO | 0010048 A1              |  | 24-02-2000       |
|  |   |                  | CN | 1308734 T               |  | 15-08-2001       |
|  |   |                  | EP | 1105763 A1              |  | 13-06-2001       |
|  |   |                  | US | 6215920 B1              |  | 10-04-2001       |